APPROPRIATION PROCESS

SPD Planning Conference

Appropriation and Authorization

“It Takes Two to Tango”

Objectives

To be able to identify:

- Congressional Subcommittees involved in developing Corps Civil Works Appropriations.
- Law which provides funding for Corps Civil Works activities.
- Corps guidance for preparing annual program and budget request for Civil Works Activities.
- Three phases of the Civil Works Budget Cycle

References

- EC 11-2-179, Annual Program & Budget Request for Civil Works Activities, Corps of Engineers, Fiscal Year 2002
- Southwestern Division
  - Congressional Contact Primer
  - Civil Works Project Implementation Process Matrix

U. S. Government Appropriations

- Approval to spend $ for an activity
- Legislation initiated in the House of Representatives
- 13 Appropriations Acts fund the U. S. Government

FY 2001 President's Budget Request (Billions)

TOTAL	$ 1,885	
Corps	4	(0.2%)
Agriculture	23	(1.2%)
Education	74	(3.9%)
Interest on Debt	208	(11.0%)
Medicare	220	(11.7%)
Defense	305	(16.2%)
Social Security	427	(22.7%)

Corps' Civil Works Appropriations

- Money to spend on an authorization
- House - Subcommittee on Energy and Water Development (Ron Packard, CA)
- Senate - Subcommittee on Energy and Water Development (Pete Domenici, NM)
- Energy and Water Development Appropriations Act (EWDA)
- Every year

FY 2000 CORPS Civil Works Appropriations (millions)

Operation & Maintenance	1,854	(45.1%)
Construction General	1,372	(33.4%)
FC, MR&T	309	(7.5%)
General Investigations	161	(3.9%)
General Expenses	150	(3.6%)
FUSRAP	150	(3.6%)
Regulatory Program	117	(2.8%)
TOTAL	4,113	

Washington Proponents

- Programs Management Division, HQ
  - Develop policy and defend requests for Administration
  - Prepares Corps' National program
- ASA(CW)
  - Review's Corps' National request
- Office of Management and Budget
  - Executive agency that prepares the overall President's budget request to Congress

Office of Management and Budget

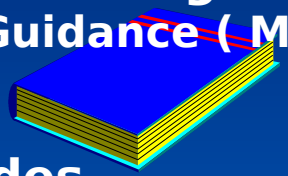
- Civil Work funds apportioned by OMB
- Funds to be apportioned within 30 days after appropriated or 20 days before FY, which ever is later.

Appropriation Process

Budget Developme Cycle

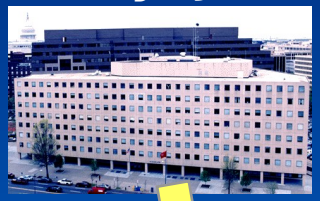
Budget Cycle

HQ Provides Budget Guidance (Mar)



Field Offices Develop Program Requirements (Apr - May)

HQ Review & Approval (May -Jun)



Budget Presented to Sec. Army (Jul - Aug)

Budget Submitted to OMB (Sep)

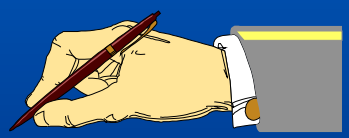
OMB Passback (Nov)

President's Budget to Congress

Cong. Hearings (Mar - Apr)

Appropriations Bills (Jul - Sep)

President Signs Approp. Bill (Sep - Oct)

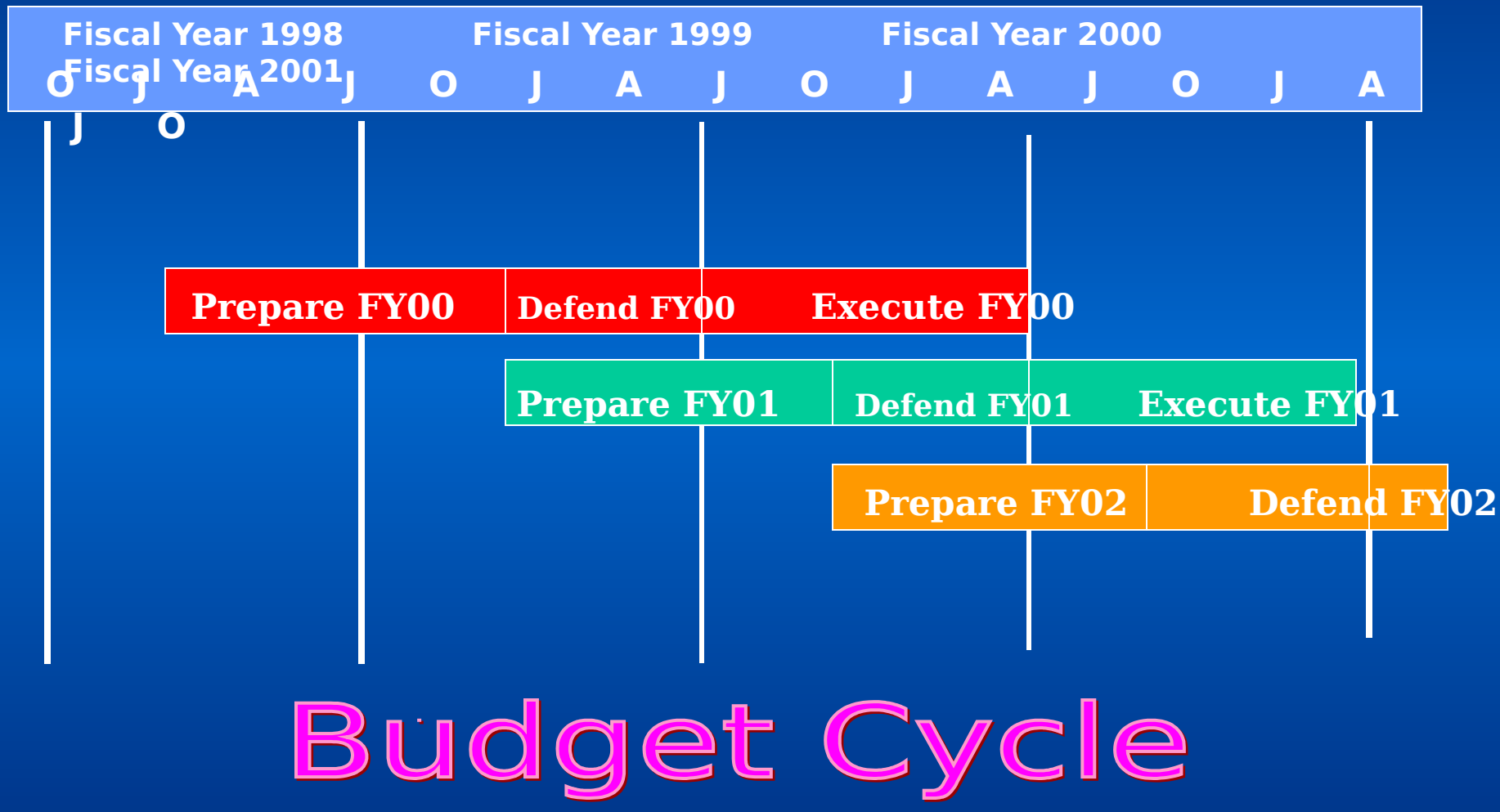


OMB Provides Budget Guidance (Jan)

Funding Alloc. To Field Offices (Oct - Dec)

Three Phases of the Civil Works Program

Appropriation Process



Budget Cycle

FY 2002 Budget Process Summary

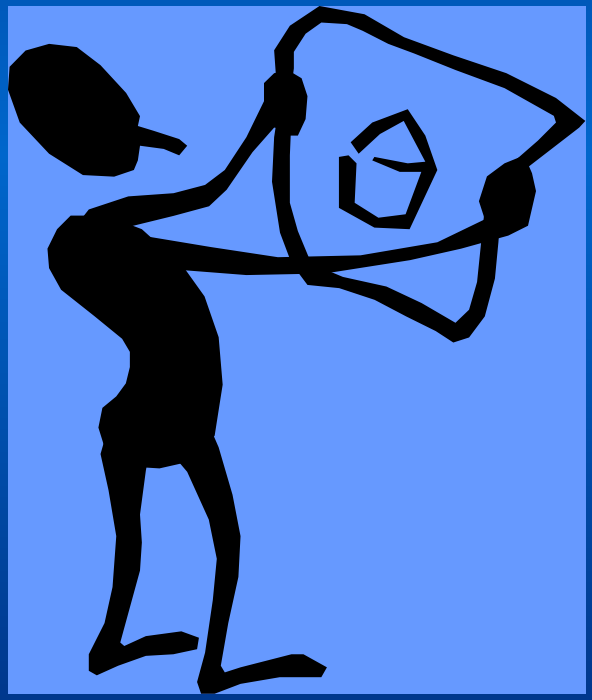
Initial Budget Summary to MSC	May 2000
Initial Budget Submission to HQ	June 20
OMB Passback	Nov 20
Final Budget Submission to OMB	Dec 20
President's Budget Submission to Congress	Feb 20

FY 2002 Budget Process (cont'd)

Congressional Hearings	Mar 2001
Members Requests	Feb-May 20
Committee Reports	Jul-Sep 200
Appropriation Bill Passed by Congress & Signed by President	Sep 2001
Receipt of Funding	Oct 2001

Appropriation Process

Key Documents



- Cost Estimates
- Justification Sheets
- Grunt Sheets
- Fact Sheets/Issue Papers
- Draft Legislation
- Chief's Report

BUDGET JUSTIFICATION DATA

- Concentrate on priority problems
- Cite specific facts to describe the problem
  - Navigation - commodities, use restrictions, delays, damages from groundings
  - Flood Damage Reduction - flood event dates & damages, developments affected, depth & duration
  - Restoration - type, acreage & significance of habitats affected
- Indicate sponsor's willingness to share costs
- Tell the truth

Summary

- House and Senate Subcommittees on Energy and Water Development
- Energy & Water Development Appropriations Act (EWDA)
- Budget EC - Annual Program & Budget Request for Civil Works Activities (FY 2002 - EC 11-2-179)
- Execution (PY-1), Defense (PY), Development (PY+1)

Appropriation Process

Questions,
Comments,
Discussion?